

PATENT

## DORSEY &amp; WHITNEY LLP

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May 5, 2004

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TO: Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450  
ATTN: Special Program Examiner Steve MarcusFAX # (703) 305-3579  
TELEPHONE: (703) 308-3872

FROM: Edward W. Bulchis, Esq.

TELEPHONE : (206) 903-8800 Main; (206) 903-8785 Direct

Applicant : Scott E. Moore

Attorney Docket No.: 500170.05

Serial No. : 09/782,892

Group Art Unit : 3723

Filed : February 13, 2001

Examiner : Maurina T. Rachuba

Confirmation No. : 3365

Title : APPARATUS AND METHOD FOR CONDITIONING AND MONITORING MEDIA  
USED FOR CHEMICAL-MECHANICAL PLANARIZATION

I hereby certify that the attached is a true duplicate copy of the Amendment that was mailed to the USPTO on the date of the certificate of mailing, November 13, 2003, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Also attached is a copy of the date-stamped return receipt postcard providing proof of timely receipt of the Amendment by the U.S. Patent and Trademark Office on November 17, 2003. To date, the Office has no record of receiving the Amendment filed in response to the October 29, 2003 Office Action; therefore the Amendment is being resubmitted herewith via facsimile.

May 5, 2004  
DateEdward W. Bulchis  
Edward W. Bulchis  
Registration No. 26,847Attachments:# of PagesCopy of Amendment  
Copy of Fee Transmittal  
Copy of date-stamped Return Receipt Postcard51  
1  
1

No. of pages (including cover sheet)

54

Original will not be resent via mail.

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500170.05 (29785/US/3)  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SENT: November 13, 2003

DUE: January 29, 2004

Kindly acknowledge receipt of the below-listed documents by placing  
your receiving stamp hereon and mailing:

Date Stamp



Check; Fee Transmittal Sheet (+ copy); Amendment; in re: Scott E. Moore,  
USAN 09/782,892, filed February 13, 2001, for APPARATUS AND METHOD FOR  
CONDITIONING AND MONITORING MEDIA USED FOR  
CHEMICAL-MECHANICAL PLANARIZATION.

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DORSEY & WHITNEY LLP

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# FEE TRANSMITTAL SHEET (FOR FY 2004)

## Complete If Known

Application No. 09/782,892  
Filing Date February 13, 2001  
First Named Inventor Scott E. Moore  
Group Art Unit 3723  
Examiner Maurina T. Rachuba  
Atty. Docket Number 500170.05

## METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee (\$)	Fee (\$)	Fee Description
		770	385	<input type="checkbox"/> Utility Filing Fee
		340	170	<input type="checkbox"/> Design Filing Fee
		530	265	<input type="checkbox"/> Plant Filing Fee
		770	385	<input type="checkbox"/> Reissue Filing Fee
		160	80	<input type="checkbox"/> Provisional Filing Fee
Subtotal (1)		\$ 0		

### 2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total 202	- 183	= 19	x \$ 18	= \$ 342
Ind. 30	- 23	= 7	x \$ 86	= \$ 602
Multiple Dependent Claims			x \$	= \$
Subtotal (2)		\$ 944		

Large Entity	Small Entity	Fee (\$)	Fee (\$)	Fee Description
18	9			Claims in excess of 20
86	43			Independent claims in excess of 3
280	145			Multiple dependent Claim
86	43			Reissue independent claims over original patent
18	9			Reissue claims in excess of 20 and over original patent

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee (\$)	Fee (\$)	Fee Description	Fee paid
130	65			Surcharge - Late filing fee or oath	\$
50	25			Surcharge - late provisional filing fee or cover sheet	\$
130	130			Non-English specification	\$
2,520	2,520			For Filing a Request for <i>ex parte</i> Reexamination	\$
820	820			Requesting publication of SIR prior to Examiner action	\$
1,840	1,840			Requesting publication of SIR after Examiner action	\$
110	55			Extension for reply within first month	\$
420	210			Extension for reply within 2 <sup>nd</sup> month	\$
950	475			Extension for reply within 3 <sup>rd</sup> month	\$
1,480	740			Extension for reply within 4 <sup>th</sup> month	\$
2,010	1,005			Extension for reply within 5 <sup>th</sup> month	\$
330	165			Notice of Appeal	\$
330	165			Filing a brief in support of an appeal	\$
290	145			Request for oral hearing	\$
110	55			Terminal Disclaimer Fee	\$
110	55			Petition to revive - unavoidable	\$
1,330	665			Petition to revive - unintentional	\$
1,330	665			Utility/Reissue issue fee	\$
480	240			Design issue fee	\$
640	320			Plant issue fee	\$
130	130			Petitions to the Commissioner	\$
50	50			Processing fee under 37 CFR 1.17(q)	\$
180	180			Submission of IDS	\$
40	40			Recording each patent assignment per property (times number of properties)	\$
770	385			Request for Continued Examination (RCE)	\$

Other fee (specify)

Subtotal (3) \$ 0

Total Amount of Payment: \$ 944

Submitted by:

Name: Steven H. Arterberry

Reg. No.: 46,314

Telephone: (206) 903-8800

Signature: *Steven Arterberry*

Date: 11/13/03

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MAY 05 2004

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PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

November 13, 2003  
Date

T. Miller  
Tamra Miller

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/782,892

Confirmation No. : 3365

Applicant : Scott E. Moore

Filed : February 13, 2001

Attorney Docket No.: 500170.05

Art Unit : 3723

Customer No. : 27,076

Examiner : Maurina T. Rachuba

Title : APPARATUS AND METHOD FOR CONDITIONING AND MONITORING MEDIA  
USED FOR CHEMICAL-MECHANICAL PLANARIZATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AMENDMENT

Sir:

Applicant acknowledges receipt of the Office Action dated October 29, 2003.

Please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on  
page 2 of this paper.

Remarks begin on page 49 of this paper.

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